

# SEMI: Semi-Online Power Estimates for Smartphone Hardware Components

Ekarat Rattagan, Edward T.-H. Chu, Ying-Dar Lin, *Fellow, IEEE*, and Yuan-Cheng Lai

**Abstract**—Using the data obtained from a battery monitoring unit (BMU) are a low-cost, easy-to-use way to estimate the power consumption of smartphones. Current online power estimation methods produce significant errors compared to using external power monitors because online methods do not address the three most important factors which affect the efficacy of online power consumption estimates. These are: (1) battery capacity degradation with aging, (2) asynchronous power consumption, and (3) the effect of state-of-charge (SoC) difference, the remaining power level of the battery. This paper presents a semi-online power estimate method which uses charging data to determine actual battery capacity, applies the discrepancy between battery voltage at different workloads for asynchronous power detection, and analyzes the range of SoC (0-100 percent) which causes minimal power estimate errors. The proposed method is validated by conducting a series of experiments on a smartphone and comparing the results with the existing online power estimation methods. Experimental results on the power consumption estimates of real applications indicate that the semi-online method reduced the error rate of power estimates obtained from existing online methods by 27-94 percent. Moreover, this work also shows that battery capacity degradation is the major factor affecting the efficacy of online power estimations.

**Index Terms**—Power consumption estimation, asynchronous power consumption behavior, battery capacity, state-of-charge

## 1 INTRODUCTION

THE power consumption estimates of smartphone hardware components such as CPUs, Screen, Wi-Fi, etc., called power profiles, are useful for various purposes. For example, smartphone researchers can use them to make decisions when to offload computational tasks with low power usage [1], [2]. Smartphone OS developers can use them to implement OS function, such as battery lifetime prediction [3], [4]. Smartphone app developers can use them to create power-related applications such as battery monitoring apps.

In general, the power profiles of smartphones are generated by smartphone vendors embedded in smartphone devices. However, the accuracy of vendor-generated power profiles decreases as smartphones are used over a period of time. The decreasing accuracy is a result of several factors, such as battery capacity degradation [5] and ambient temperature [6]. Mian and Zhong [7] also showed that smartphone power profiles should be regularly rebuilt to mitigate

errors in power estimation caused by such factors. It is thus necessary for smartphone researchers or developers to reconstruct the power profiles of smartphones being tested so as to sustain the accuracy of power profiles.

To reconstruct the power profiles of smartphones requires obtaining the power consumption of the hardware components operating in various states. There are typically two state-of-the-art power consumption acquisition methods for smartphones, offline and online methods. Offline methods [6], [8], [9] use external power monitors to measure power consumption, while online methods [6], [10], [11], [12], [13] use a built-in battery monitoring unit (BMU) to estimate power consumption. Clearly, offline methods are more accurate than online methods because the difference in the sampling rates between the external power monitors and BMU, e.g., 5000 Hz versus 1 Hz. However, online methods have more benefits than offline methods in lower cost, ease of use, and scalability. Online methods are particularly suitable for where offline methods are not practical to apply. For instance, smartphones with non-removable batteries or when building power profiles for a lot of smartphones, such as cloud-based testing.

In fact, several existing online methods aim at improving the accuracy of power estimates. However, most of them still do not address the major factors causing large errors of power estimates. The most significant factors that influence estimates are (1) battery capacity degradation, (2) asynchronous power consumption behavior, and (3) the effect of SoC difference in the hardware state training.

1. Battery capacity degradation refers to the decrease in battery capacity (mAh) after several discharge/charge cycles [5]. This causes large errors in existing online methods [6], [10], [12] as most of them still use the

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battery capacity value stated on the battery cover to calculate power estimates. The battery capacity on the label is quite different compared with the actual battery capacity value, especially in old batteries.

2. Asynchronous power consumption behavior ('asynchronous power' for short) is the power consumption which is not correlated with the hardware operating state usage. This problem occurs in some hardware components, such as cellular networks [8], [14], and at present no existing online method can deal with it effectively. On the other hand, synchronous power is the power consumption which correlates with the hardware operating state.
3. The effect of SoC difference refers that different SoC (the remaining battery levels) can affect the power consumption estimation obtained from current online methods. E.g., the hardware state trained at the same training time, e.g., 30 mins, but at different SoC, such as 50 and 90 percent, will cause different power consumption estimates. This is due to the nonlinear characteristics of battery data used for calculating SoC [5].

As far as we know, there are no existing online methods that address all three issues elaborated above. This study proposes a semi-online power estimation method, termed SEMI, which aims at reducing the power estimation errors, by addressing these three factors. The central concept of SEMI is based on our observation that all existing online methods are not actually 'online' as they had been termed. In fact, during a power estimation process, these 'online' methods still rely on external equipment, such as a USB cable for charging, to complete their operations. During charging, all existing online methods waste time without achieving anything useful. SEMI thus takes advantage of information derived from the charging process to improve the accuracy of typical online methods.

SEMI works by first addressing battery capacity degradation, by using both discharging and charging data, including the charging current (mA), to determine the actual battery capacity. The charging current is obtained by using a low-cost device which monitors the current via a USB cable during charging. Second, by applying the methods developed by [11], where estimated current can be deduced by the difference in battery voltage associated with two different workloads, SEMI is able to detect and estimate asynchronous power consumption in a device. Third, this study assesses the effect of different SoC of the hardware state training process to determine an optimal SoC that would result in minimal power estimation errors. Compared to earlier work [15], this work investigates the power consumption estimates of an additional hardware component, Wi-Fi, and validates the efficacy of SEMI on the real applications.

The remainder of this paper is organized as follows. Section 2 explains the background and related work. Section 3 describes the problem statement. In Section 4 the SEMI method is presented, and Sections 5 and 6 shows the experiment setup and results. Finally, Section 7 concludes the paper.

## 2 BACKGROUND

This section reviews our knowledge of online power estimation methods and some important factors which significantly affect the accuracy of power estimation.

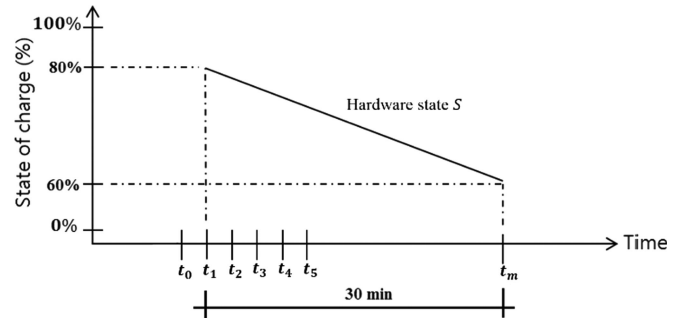


Fig. 1. An example of online power estimation.

### 2.1 Online Power Estimation Methods

The traditional online power estimation methods are those which use battery data, provided by a BMU, to estimate the power consumption of hardware components of a smartphone. The power consumption of each component refers to the power consumption of the hardware operating state. The hardware operating state ('hardware state' for short) is a combination of various hardware parameters, which include CPU utilization and frequency, screen brightness, and 3G and Wi-Fi packet rates. Each parameter is composed of a range of discrete values, e.g., CPU utilization contains discrete values from 1 to 100 percent, and screen brightness contains discrete values from 0 to 255.

The battery data provided includes battery voltage (mV), state-of-charge (SoC), i.e., the percentage of remaining battery power, battery temperature, etc. Nevertheless, the BMUs of most commercial smartphones do not give current data (mA) because the current sensors have been removed to save cost. Hence, the existing online power estimation methods which use current data to measure the power consumption are workable for only a few smartphones, as shown in [7], [13].

For most smartphones whose BMUs do not provide current data, the power consumption estimates of the target hardware component can be obtained by collecting battery data, while the hardware state is controlled (training) for a period of time during the discharge process. After completing the training process, traditional online methods use the battery data thus obtained to calculate the power consumption estimates of the target hardware state as per the following equation

$$P = \frac{(U \times C \times V)}{T} \quad (1)$$

where  $P$  is the power estimate (mW) associated with the target hardware state,  $U$  is the difference between the starting SoC and ending SoC,  $C$  is the battery capacity value given on the cover of the battery,  $V$  is the battery voltage (V), and  $T$  is the training time (hour). Fig. 1 illustrates the traditional online power methods to estimate the power consumption of hardware state  $S$  trained for 0.5 hour. The starting and ending SoC are 80 and 60 percent, respectively, so that  $U$  equals 20 percent (80 – 60 percent). Assuming that the battery capacity is 2,600 mAh and voltage is 3.8 V, then, based on Eq. (1), the power estimates of this hardware state is  $(0.2 \times 2,600 \times 3.8) / 0.5 = 3,952$  mW.

### 2.2 Factors Affecting Power Estimation

*Battery Capacity Degradation.* Commercial smartphones are generally equipped with removable or non-removable Li-Ion

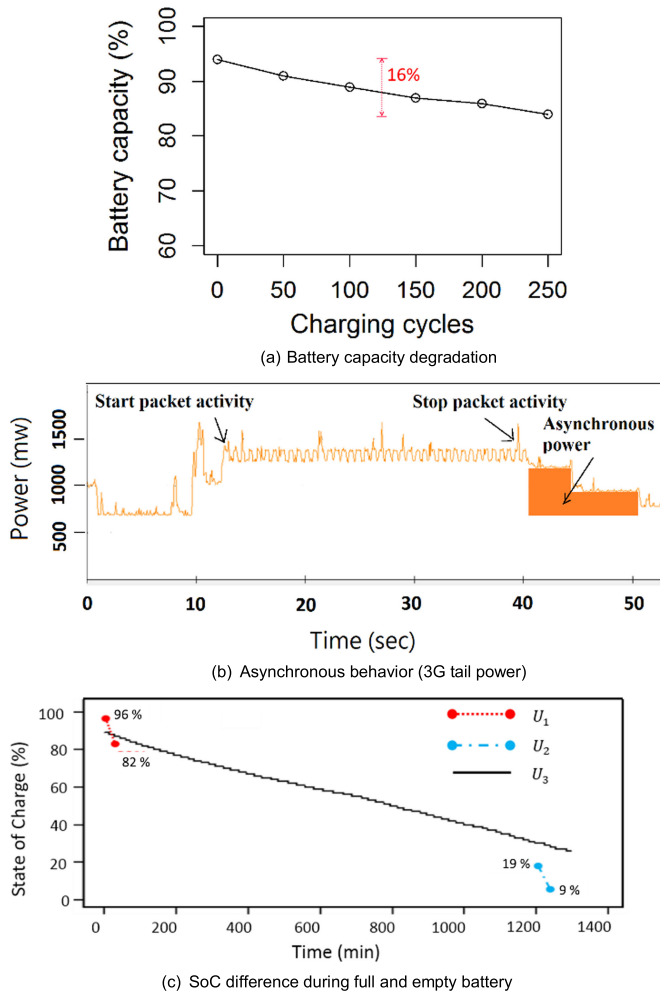


Fig. 2. Three factors affecting the online power estimation.

batteries, with a capacity of 1,500-3,000 mAh, shown on the battery cover. With such a limit to battery capacity and a power-hungry application usage, smartphone batteries discharge very fast, and need to be recharged more and more frequently. The increase in discharge/charge cycles results in a decrease in battery capacity caused by their internal chemical reaction [5]. Consequently, the actual capacity of old batteries is lower than the capacity stated on the cover. Fig. 2a shows that about 16 percent of battery capacity is lost after 250 discharge/charge cycles [5]. Existing online methods use the battery capacity given on the battery cover to estimate the power consumption of smartphones. However, without determining the actual battery capacity, the power consumption based on the labeled battery capacity leads to large errors in power consumption estimates. And the older the battery, the larger the error becomes.

*Asynchronous Power* refers to the consumed power of a hardware component is not correlated with its operating state. For example, Fig. 2b shows the asynchronous power in 3G (tail power) of between 40 to 50 seconds, even though the 3G utilization during asynchronous power is similar to the 3G utilization of between 0 to 10 seconds. An alternative way to estimate asynchronous power was proposed by Pathak et al. [8], a system call-based and offline method to determine asynchronous power. While the system call-based and offline method is accurate, it is labour-intensive

and requires intimate knowledge of the smartphone operating system (OS). It is thus not applicable to most commercial smartphones whose OS is a closed source. Also, the system call functions of some hardware components, such as GPU, are hidden from OS.

*Different State-of-Charge*. The SoC is the value that indicates how much battery capacity (percent) remains. Those commercial smartphones equip with BMU can provide battery data such as SoC, voltage, and temperature. Most of the existing online methods are based on the assumption that the same hardware state trained under the same duration consumes the same SoC difference in all ranges of SoC (0-100 percent), as shown as line  $U_3$  in Fig 2c. As a result, the existing online methods which train each hardware state on any SoC without being aware of the effect of SoC differences, would cause large power estimation errors. What has been observed in this work, however, is that the hardware state will consume a significantly larger SoC difference when it is trained during an almost full or an empty SoC. As shown in Fig. 2c, the target hardware state trained at SoC = 96 percent consumes a SoC difference  $U_1 = 14$  percent; whereas it consumes SoC difference  $U_2 = 10$  percent at SoC = 19 percent. This is due to the nonlinear characteristics of SoC when it is closed to 0 or 100 percent [5]. Therefore, without addressing the optimal range of the SoC during hardware state training, the power estimates constitute a large error rate compared to the actual power measurement.

### 3 PROBLEM STATEMENT

Assume a device under test (DUT) equipped with a battery whose capacity is  $C$  (mAh) and voltage is  $V$  (V). The DUT comprises several hardware components, and each component has various parameters, e.g., the CPU has two parameters, utilization and frequencies. Each parameter has discrete values: CPU utilization is between 1 to 100 percent, and CPU frequencies are 250, 350, . . . 1,600 MHz. A hardware state  $S_i$  is defined as a vector that contains the parameters of all target hardware components. For example, the hardware state  $S_0$ , the idle state, contains parameters that include CPU utilization = 1 percent, CPU frequency = 250 MHz, screen brightness = 0, etc.

Let the DUT includes  $N + 1$  hardware states, denoted as  $S_0, \dots, S_n$ . Each state  $S_i$  was trained to estimate the associated power consumption. In the training process, during battery discharge, the training app controls the target hardware state  $S_i$  to be active for a specific period of time, while periodically collecting related battery data provided by BMU. The sampling interval of the training app is  $d$  seconds, while the update interval of BMU is  $r$  seconds, where  $d < r$ . Table 1 summarizes all parameters and their definitions. Given the battery data associated with the hardware state  $S_i$ , the objective is to use that data to estimate synchronous power  $p_i$ , asynchronous power  $p_i^{async}$ , and asynchronous duration  $T_i^{async}$ , associated with the hardware state  $S_i$ , so that the error rate between the power estimates of the hardware state  $S_i$ , including  $p_i^{sync}$  and  $p_i^{async}$ , and the measured power  $Y_i$ , obtained from an external power monitor, is minimized.

### 4 SEMI

The goal of SEMI is to improve the online methods in terms of accuracy by dealing with the three factors mentioned

TABLE 1  
 Parameter and Definition

| Unit          | Unit Symbol  |
|---------------|--|
| $I^{CH}$      | Battery charging current (mA)                          |
| $T^{CH}$      | Battery charging time (hour)                           |
| $I^{DCH}$     | Battery discharging current (mA)                       |
| $T^{DCH}$     | Battery discharging time (hour)                        |
| $C$           | Battery capacity (mAh)                                 |
| $V$           | Battery voltage (V)                                    |
| $C'$          | Current battery capacity (mAh)                         |
| $r$           | Update interval of the BMU                             |
| $d$           | Sampling interval of the training app                  |
| $Q$           | A ratio of $r$ and $d$                                 |
| $S_i$         | Hardware operating state                               |
| $t_{i,x}$     | Training time slot $x$ of the hardware state $S_i$     |
| $u(t_{i,x})$  | SoC at the time slot $t_{i,x}$                         |
| $v(t_{i,x})$  | Battery voltage ( $\mu V$ ) at the time slot $t_{i,x}$ |
| $p_i^{sync}$  | Synchronous power of hardware state $S_i$ (mW)         |
| $p_i^{async}$ | Asynchronous power of hardware state $S_i$ (mW)        |
| $T_i^{async}$ | Asynchronous duration of hardware state $S_i$ (mW)     |
| $Y_i$         | Power measurement of hardware state $S_i$ (mW)         |

above. Generally, SEMI operates in three steps. The first step (battery capacity correction) aims at reducing the error rates caused by the battery capacity degradation. This step determines actual battery capacity  $C'$  by using both discharging and charging data. Next, the second step (optimal SoC detection) aims at finding the range of SoC which causes the least errors in SoC difference. Finally, the third step (power consumption estimates) aims at estimating the synchronous power  $p_i^{sync}$  and asynchronous power  $p_i^{async}$  of each hardware operating state  $S_i$ , where  $i = 0, \dots, n$ . In particular, the third step employs actual battery capacity  $C'$  and optimal range of SoC obtained from the first and second steps, respectively, in their operations. Fig. 3 depicts all operation steps of SEMI.

#### 4.1 Battery Capacity Correction

As the manufactured battery capacity  $C$  decreases to  $C'$  after several discharge/charge cycles, this section aims to determine the actual battery capacity  $C'$  so as to reduce the error rate of the power estimates. Typically,  $C'$  is simply

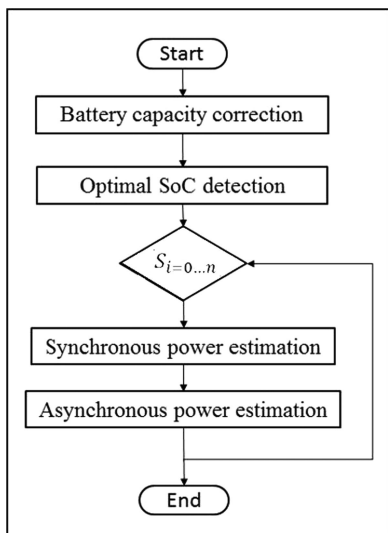


Fig. 3. The operation steps of SEMI.

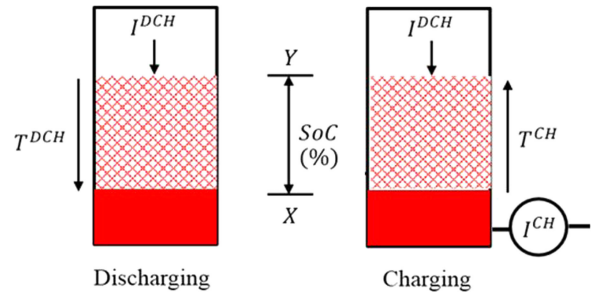


Fig. 4. Charging and discharging data of the same hardware state associating with the same SoC difference.

estimated by multiplying the averaging discharging current  $I^{DCH}$ , associating with a controlled hardware state, and total discharging time  $T^{DCH}$ , the time for discharging battery capacity from 100 to 0 percent of SoC:  $C' = I^{DCH} \times T^{DCH}$ . However, since the discharging current  $I^{DCH}$  cannot be measured directly, this work proposed a technique to obtain  $I^{DCH}$  from the discharging and charging data, based on the similarity of the amount of battery energy usage (SoC difference) when a DUT is put into the same controlled hardware state during discharging, and charging process. Fig. 4 gives the discharging and charging data. The discharging data includes the total discharging time  $T^{DCH}$  for discharging battery capacity from  $Y$  to  $X$  percent SoC,  $U_{yx}$ ; whereas the charging data includes the total charging time  $T^{CH}$  for increasing battery capacity from  $X$  to  $Y$  percent SoC,  $U_{xy}$ , and the average charging current  $I^{CH}$  obtained by using a low cost device via a USB cable.

Based on the similarity of SoC difference  $U_{yx}$  and  $U_{xy}$ , the discharging current  $I^{DCH}$  is calculated as

$$\begin{aligned}
 \text{SoC}_{\text{discharge}} &= \text{SoC}_{\text{charge}} \\
 I^{DCH} \times T^{DCH} &= (I^{CH} - I^{DCH}) \times T^{CH} \\
 I^{DCH} &= \frac{I^{CH} \times T^{CH}}{T^{DCH} \times T^{CH}}.
 \end{aligned} \quad (2)$$

Finally,  $C'$  can be calculated by using the charging and discharging data as follows:

$$C' = \left( \frac{I^{CH} \times T^{CH}}{T^{DCH} \times T^{CH}} \right) \times T^{DCH}. \quad (3)$$

Note that Eq. (3) is workable for a battery system whose charging and discharging paths are the same. If not, the charging time  $T^{CH}$  of all hardware states are similar and the discharging current  $I^{DCH}$  associated with each hardware state cannot be differentiated.

#### 4.2 Optimal SoC Detection

This section aim to discover the optimal range of SoC for training a hardware state which causes the smallest power estimation errors, we process as follows. First, a battery is fully charged. Next, the battery is allowed to discharge from full to empty, while a DUT is put into a hardware state  $S_i$  for a certain period of time. Then, for each training period, the power estimation of the associated range of SoC is estimated, by using the traditional online power estimation (synchronous power) and the actual battery capacity  $C'$

obtained from the previous step. Finally, the accuracy of the power estimates of each range of SoC is compared with the external power monitor in order to find the range of SoC with the highest accuracy in order to be used in the next step.

### 4.3 Synchronous Power Estimation

Algorithm 1 estimates synchronous power,  $p_i^{sync}$  by using the training app to periodically sample battery data, starting from time  $t_{i,0}$  to  $t_{i,m}$ . For each time slot  $t_{i,x}$ , the battery voltage  $v(t_{i,x})$  is collected and inserted into list  $L$ . At the time slot  $t_{i,1}$ , the hardware state of DUT is changed from the idle state  $S_0$  to the hardware state  $S_i$ , and the  $u(t_{i,m})\%$  of SoC is collected and inserted into a list  $U$ . Finally, at the time  $t_{i,m}$ , the  $U(t_{i,m})\%$  of SoC is collected and inserted into list  $U$ , while the DUT is forced into the idle state  $S_0$ . After finishing collecting data, all battery voltages in the list  $L$  are averaged to  $V$ , and the synchronous power  $P_i^{sync}$  is then calculated as

$$P_i^{sync} = \frac{(u(t_{i,1}) - u(t_{i,m})) \times C' \times V}{t_{i,m} - t_{i,1}} \quad (4)$$

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### Algorithm 1. Synchronous Power Estimation

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**input:** Actual battery capacity  $C'$ ;  
**vars:** An empty list  $L$  and  $U$ ,  $V = 0$ ;  
**for**  $x = 0, 1, \dots, m$  **do**  
     $L \leftarrow \text{insert}(v(t_{i,x}))$ ;  
    **if**  $x == 1$  **then**  
        Put a DUT in the hardware state  $S_i$ ;  
         $U \leftarrow \text{insert}(u(t_{i,x}))$ ;  
    **end if**  
    **if**  $x == m$  **then**  
        Put a DUT in the hardware state  $S_0$ ;  
         $U \leftarrow \text{insert}(u(t_{i,x}))$ ;  
    **end if**  
**end for**  
 $V = \text{mean}(L)$   
**Return**  $p_i^{sync} = \frac{(U_0 U_1) \times C' \times V}{t_{i,m} - t_{i,1}}$ ;

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### 4.4 Asynchronous Power Estimation

After estimating synchronous power  $P_i^{sync}$  in the previous step, the next step is to estimate asynchronous power  $P_i^{async}$ , based on the knowledge that the current value (mA) is linear related to the size of voltage difference [11]. The asynchronous power consumption of each hardware state  $S_i$  is thus deduced from the voltage difference  $v_{diff}$ . After time  $t_{i,m}$ , the training app continues checking whether a hardware state  $S_i$  includes asynchronous power or not, as shown in Algorithm 2, by finding that  $v_{diff} = |v_{drop} - v_{rec}|$ , where  $v_{drop} = v(t_{i,0}) - v(t_{i,2})$  and  $v(t_{i,0}) > v(t_{i,2})$ , is the decrease in battery voltage when a hardware state is changed from  $S_0$  to  $S_i$  and  $v_{rec} = v(t_{i,m+2}) - v(t_{i,m})$ , where  $v(t_{i,m+2}) > v(t_{i,m})$ , is the amount of battery voltage recovery when a hardware state is changed from  $S_i$  to  $S_0$ . To detect asynchronous power, the sample slot of the training app needs to straddle the BMU update time slot, i.e., between  $m, m+2, m+4$ , and so on, to detect the change in battery voltage. Then,  $v_{diff}$  is compared with a defined threshold to determine asynchronous power of  $S_i$ .

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### Algorithm 2. Asynchronous Power Estimation

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**Input:**  $p_i^{sync}, L$ ;  
**vars:** An empty list  $L^{async}$ ;  $v_{diff}, v_{rec}, v_{drop}, T_i^{async}$ ;  
 $v(t_{i,0}) \leftarrow L$   
 $v(t_{i,1}) \leftarrow L$   
 $v(t_{i,2}) \leftarrow L$   
 $v(t_{i,m}) \leftarrow L$   
 $v_{drop} = v(t_{i,0}) - v(t_{i,2})$   
**for**  $x = m+2, m+4, \dots$  **do**  
     $v_{rec} = v(t_{i,x}) - v(t_{i,x-2})$   
     $v_{diff} = |v_{drop} - v_{rec}|$   
    **if**  $v_{diff} > \text{threshold}$  **then**  
         $L^{async} \leftarrow \text{insert}(p_i^{sync} \times (\frac{v_{diff}}{v_{drop}}))$   
         $T_i^{async} \leftarrow T_i^{async} + x$   
    **else**  
        **break**  
    **end if**  
**end for**  
 $p_i^{async} = \text{mean}(L^{async})$   
**Return**  $p_i^{async}, T_i^{async}$ ;

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The criteria to detect asynchronous power is, if  $v_{diff}$  is smaller than the threshold, it concludes that the hardware state  $S_i$  does not include asynchronous power, and Algorithm 2 then stops working. By contrast, if  $v_{diff}$  is larger than the threshold, it concludes that the hardware state  $S_i$  includes asynchronous power. If asynchronous power exists, the training app then determines the amount of asynchronous power  $p_i^{async}$  and duration  $T_i^{async}$ . The amount of asynchronous power can be obtained by

$$p_i^{async} = p_i^{sync} \times \left( \frac{v_{diff}}{v_{drop}} \right) \quad (5)$$

and is then inserted into a list  $L^{async}$ , while the duration is accumulated. Finally, when asynchronous power has ended, Algorithm 2 returns the asynchronous power  $p_i^{async}$ , estimated by averaging the list  $L^{async}$  and the duration  $T_i^{async}$ .

Fig. 5 shows an example of asynchronous power estimate. Suppose synchronous power is 1,000 mW,  $v_{drop} = 20,000$ , and  $v_{rec} = 8,000$ . Thus,  $v_{diff} = 20,000 - 8,000 = 12,000$ . By applying Eq. (5) with the data example, the asynchronous power is calculated as  $1,000 \times \frac{12,000}{20,000} = 600$  mW.

## 5 EXPERIMENTAL SETUP AND RESULTS

### 5.1 Experimental Setup

SEMI was validated by experiment on a commercial smartphone, Galaxy S4, as the DUT, using a Monsoon power monitor [18], which supplies stable voltage and sample rates at 5 KHz, to measure the actual power consumption. The experimental setup is shown in Fig. 6.

The target hardware components included the CPU, screen, 3G, and Wi-Fi. Each hardware state was trained for 30 minutes to obtain the synchronous power estimates, with additional time for any hardware state associated with asynchronous power. The experiment on the GPU was omitted because of its complexity and that a large number of its parameters are difficult to control for long enough until the SoC has changed. The detail of each hardware component is as follows.

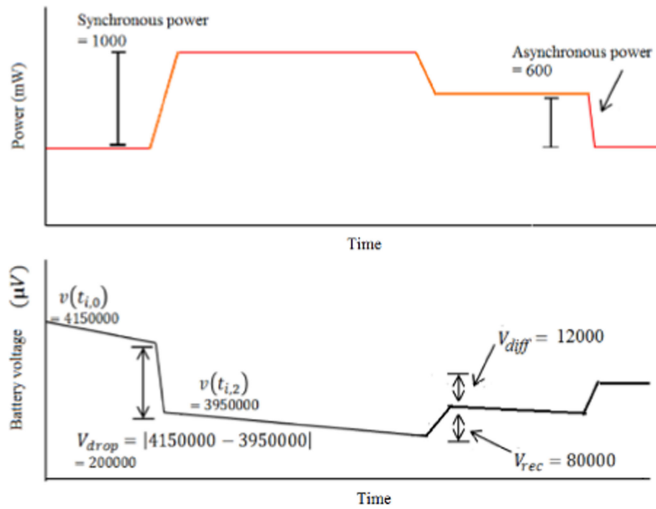


Fig. 5. An example of asynchronous power estimation.

**CPU:** CPU power consumption relies on CPU utilization from 1 to 100 percent in a step of 10 percent, and a range of frequencies from 200, 300, ..., 1,600 MHz. The CPU of the DUT is a Big.Little technology with four Big and four Little cores. However, not all eight cores can operate simultaneously. In fact, the eight cores are separated into four clusters, each cluster consists of one Big and one Little. With the limitation of the OS scheduling policy, only four cores of different clusters can operate simultaneously. In this work, the power consumption of one cluster was evaluated, and the power consumption of the other clusters were deduced from the first one.

**AMOLED screen:** the proposed method considered only the screen's brightness, i.e., from 0 to 255 in steps of 30, but pixel colors, even though the pixel colors significantly affect the power consumption of the AMOLED screen [19]. This is because there is a high overhead for capturing the screen's pixel colors by a training app.

**3G and Wi-Fi:** the power consumption of these two network interfaces varies by a number of packets (Tx and Rx) per second, i.e., the DUT communicates with a server by sending and receiving files with various sizes.

For the hardware training process, we created a training app which automatically varied the workloads of every hardware component. The first trained component was CPU. While the CPU was being trained, the 3G and Wi-Fi components were off, and the screen was set to one color (white) and brightness. After we obtained the power model of CPU (in this work, we used linear regression to build a power model), the screen (brightness) was next trained, and its power consumption was calculated by subtracting the total power from the CPU power consumption. Finally, the 3G and Wi-Fi were trained.

Each hardware state was trained several times and then averaged. The generated power models were validated on four real applications running with six different scenarios. The Mean Absolute Percentage Error (MAPE) was used as the error metric for evaluating the power estimates of individual hardware states. The Root Mean Square Error (RMSE) was used as the error metric to assess the power estimates of real applications. To compare the efficacy of the proposed SEMI method, another version of the semi-online method, SEMI-B, similar to SEMI, except that it used the

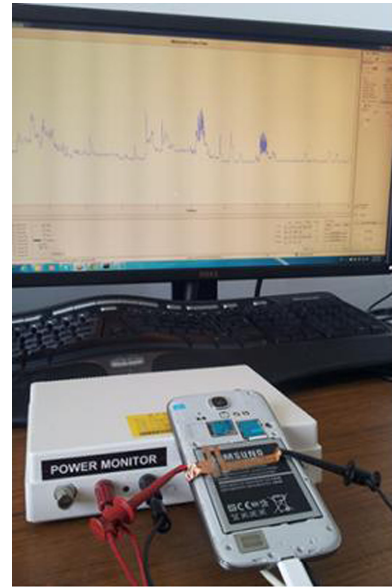


Fig. 6. The experimental setup includes DUT and monsoon.

stated battery capacity instead of the actual battery capacity. The accuracy of the power estimates from both semi-online versions were also compared with the power estimates obtained from other online power estimation methods, i.e., SoD [6] and CABLI [10], and the offline method, which used an external power monitor, was used as the baseline.

It is worth noting that the deeper investigation of hardware operating states will significantly impact on the accuracy of the power estimated models, e.g., different CPU idle time consume different power consumption [16], [17]. However, since CPU idle time can be controlled for a short time, it requires offline methods which use a high sampling rate power monitor (e.g., 5K Hz) to determine its power characteristics. This is very challenging for online methods which use a low sampling rate (like BMU at, e.g., 1 Hz) to capture the power characteristics during a small time window. In fact, such online methods are suitable for the hardware states which we can control long enough, until the SoC is different. For this reason, we thus focused on all possible hardware states which we can control long enough for the BMU to capture the SoC differences.

## 5.2 The Effect of Battery Capacity Degradation

Fig. 7 gives the comparisons of synchronous power estimate associated with the same hardware state but different battery capacities. The stated battery capacity of traditional online, SEMI, and offline methods are 2,600, 2,000, and 1,670 mAh, respectively. The power consumption estimate obtained from the traditional online methods, SoD, CABLI, and SEMI-B, resulted in the highest errors of 79.3, 59.9 and 59.9 percent, respectively, compared with the offline method. However, by using a battery capacity correction, SEMI can result in error rate of 22.9 percent, compared with the offline method. As this result, battery capacity degradation shows very large errors for the power consumption estimates obtained from traditional online methods.

## 5.3 The Effect of SoC

Fig. 8 shows the error variance resulting from training the same hardware state  $S_i$  (10 percent CPU utilization, 800

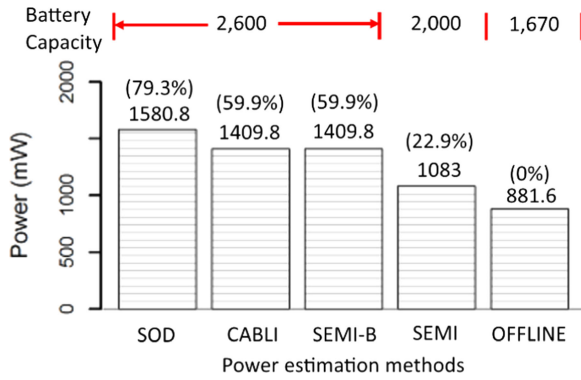


Fig. 7. Battery capacity degradation.

MHz frequency) with a training time of 30 minutes on different SoC. By comparison with the offline method, the results show that 50-70 percent of SoC had the lowest impact on the accuracy of power estimates, whereas 30-40 percent of SoC shows the highest impact on the accuracy of power estimates. Thus, the hardware state  $S_i$  consumed 9 percent of SoC trained at the middle of SoC. On the other hand, it consumed 12-14 percent of SoC when trained at an 0 or 100 percent of SoC. The reasons why all methods performed well during the middle of SoC was because of the relation between the SoC curve and voltage method is linear, whereas the relationship is nonlinear when SoC is closed to 0 or 100 percent [5]. The results also suggest that the hardware states should be trained at the middle of SoC, at 50-70 percent to give lowest error rate. Moreover, the optimal SoC difference is important for obtaining an accurate asynchronous power estimate.

#### 5.4 The Effect of Asynchronous Power Behavior

Fig. 9 gives the comparisons of power estimates of hangout with 3G obtained from SEMI (with and without applying asynchronous power detection) and the offline method. Based on our calculation, Fig. 9 shows that SEMI (with asynchronous power detection) gave an error (MAPE) of 18.4 percent, whereas SEMI (without asynchronous power detection) gave an error of about 31.4 percent. It is worth noting that the MAPE can be further reduced if the power consumption of GPU and screen pixel data are addressed.

#### 5.5 Power Estimate Errors

Fig. 10 shows the error rate, (we used the MAPE as the error metric), of the power consumption estimates obtained from SEMI-B, SEMI, CABLI, and SoD, on the CPU, screen, 3G, and Wi-Fi. In comparison with the offline method, the error

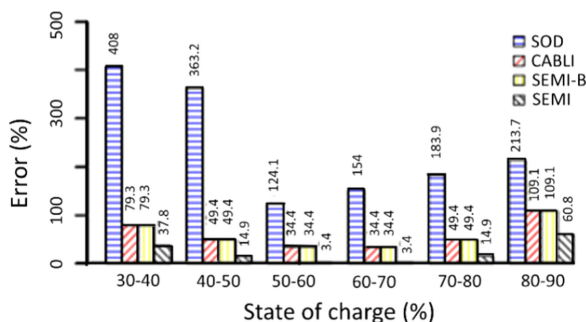


Fig. 8. The effect of SoC difference in the training process.

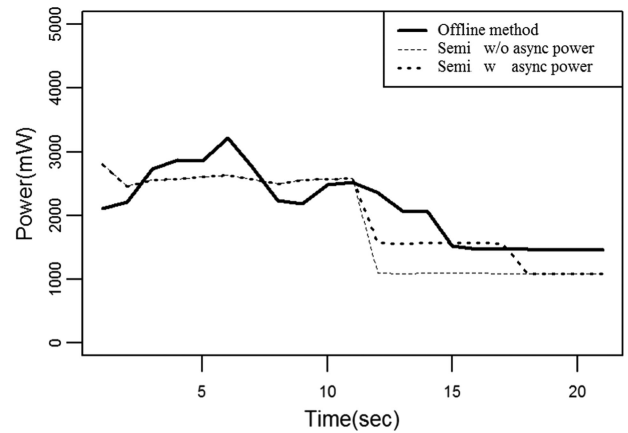


Fig. 9. Comparisons of SEMI (with and without asynchronous power detection) and OFFLINE method.

rates obtained from SEMI were 3, 28, 22 and 25 percent, respectively. The error rates obtained from SEMI-B and CABLI were similar, around 31, 75, and 62 percent for CPU, screen, and Wi-Fi, respectively. However, their error rates were significantly different from that of 3G as SEMI-B improved CABLI by about 36 percent, because CABLI does not address asynchronous power, leading to higher error rates than SEMI. Fig. 9 also shows that SEMI improved SEMI-B by 90 percent on CPU, and 63 percent on screen and 3G, and 59 percent on Wi-Fi. SEMI includes the battery capacity correction, whereas SEMI-B uses the stated battery capacity. Overall, the error rate produced by SoD for four components were highest, at 129, 125, 120, and 115 percent, respectively, because the SoC obtained from the SoD curve is not accurate as showed in [6].

#### 5.6 Real Application Evaluation

The results of real application evaluation are shown in Table 2. SEMI gives the most accurate results compared to the other online methods, improving on those methods by 26.92-93.72 percent. SoD gave the largest power estimation error because of the high error of SoC obtained from the SoD curve generated. The results of SEMI-B and CABLI are similar for apps using Wi-Fi since this hardware component has less effect on asynchronous power. However, their results are quite different from the apps using 3G, which resulted in asynchronous power. Moreover, SEMI showed a larger improvement on the apps which do not intensively

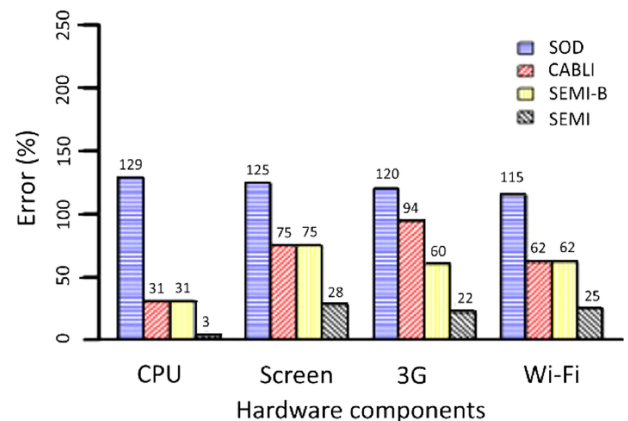


Fig. 10. Power consumption estimates by online methods and SEMI.

TABLE 2  
Comparisons of Real Applications Power Estimation (RMSE)

| Application             | SoD    | Cabli | Semi-B | Semi  |
|-------------------------|--------|-------|--------|-------|
| Chrome Wi-Fi            | 1690.6 | 181.5 | 181.5  | 172.4 |
| Chrome 3G               | 2564.6 | 247.9 | 227.5  | 219.5 |
| Gallery Wi-Fi           | 1868.8 | 358.5 | 358.5  | 217.9 |
| Gallery 3G              | 6647.4 | 222.9 | 238.3  | 176.1 |
| Hangout 3G              | 2305.8 | 214.8 | 190.9  | 129.7 |
| Line 3G                 | 2152.3 | 272.1 | 282.7  | 165.4 |
| Average                 | 93.72  | 27.82 | 26.92  | -     |
| Improvement of SEMI (%) |        |       |        |       |

use GPU components, such as Hangout and Line. However, SEMI showed some large errors for apps which use GPU component, such as Chrome and Gallery. Further details of analyzed power estimation errors of the real application are presented in the following sections.

## 6 RELATED WORK

Existing online methods used in [6], [10], [12] are different from the traditional online methods, in the way in which the SoC difference,  $U$ , and training time,  $T$ , was determined. In Zhang et al. [6] rather than using the SoC data provided by the BMU, derived an SoC curve which gave the state of discharge (SoD), the association between discharge battery voltage and discharge time. However, the SoD gives significant power estimation errors as it did not address asynchronous power and SoC difference. Zhao et al. [10] derived CABLI which uses the SoC data obtained from BMU and the battery capacity as given on the battery cover. Without addressing battery capacity degradation, asynchronous power, and SoC difference, the power estimates obtained from CABLI also results in large errors. Xu et al. [11] derived V-edge, which showed that the estimated current value of the training hardware state could be inferred from the discrepancy in battery voltages between two different hardware states. The effect of SoC difference causes significant errors in the power estimated obtained by V-edge, as a result of the large variety of battery voltage differences in different SoC. Table 3 shows the comparisons between the existing online methods and the proposed method for the problem areas identified above.

## 7 CONCLUSION

This paper proposes a semi-online power estimate method, termed SEMI, for estimating the power consumption of smartphone hardware components without relying on external power monitors. SEMI addresses three major factors which significantly impact on the accuracy of power estimates obtained from existing online power estimate methods, including battery capacity degradation, asynchronous power consumption behavior, and SOC.

The experimental results demonstrate that SEMI reduced error rates caused by battery capacity degradation from 59.9-79.3 to 22.9 percent, by using the actual battery capacity estimated from the charging information, and the asynchronous power consumption factor, from around 31.4 to 18.4 percent, by using the battery voltage

TABLE 3  
Comparisons of Online and Semi-Online Power Estimation Methods

| Method      | Current (mA) estimation method  | Battery Capacity Degrade handling | Async behavior handling | SoC analysis |
|-------------|---------------------------------|-----------------------------------|-------------------------|--------------|
| SoD [6]     | SoD and battery capacity label  | Discharging data                  | No                      | No           |
| CABLI [10]  | BMU and battery capacity label  | Discharging data                  | No                      | No           |
| V-edge [11] | Voltage differences             | No                                | No                      | No           |
| SEMI        | BMU and actual battery capacity | Charging data                     | Voltage difference      | Yes          |

difference. In addition, the experimental results suggest that 50-70 percent of SoC is the optimal range at which to perform the online power estimates with minimum error rate. Experimental results on the test of various real applications also showed that the accuracy of power estimates obtained from SEMI significantly improved the existing online power estimate methods from 26.92-93.72 percent. This improvement shows that SEMI can be applied to various power-related tasks on smartphones, such as offloading decision and battery life prediction.

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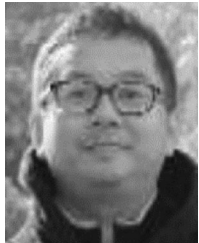
This work was done when E. Rattagan was with National Chiao Tung University.

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